

15992 U.S. PTO
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UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	46686.830001.US0
	First Inventor	George KAKATSAKIS
	Title	METHODS AND APPARATUSES FOR ALERT DRIVEN TRANSACTIONS
	Express Mail Label No.	EL379007160US

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning design patent application contents.</small>	ADDRESS TO: Commissioner for Patents Mail Stop Patent Application P.O. Box 1450 Alexandria, VA 22313
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<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original, and a duplicate for fee processing)</small></p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 19] <small>(preferred arrangement set forth below)</small></p> <ul style="list-style-type: none">- Descriptive title of the invention- Cross Reference to the Related Applications- Statement Regarding Fed sponsored R & D- Reference to sequence listing, a table or a computer program listing appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure <p>4. <input checked="" type="checkbox"/> Drawings(s) (35 U.S.C. 113) [Total Sheets 4]</p> <p>5. Oath or Declaration [Total Pages 1]</p> <p>a. <input type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 18 completed)</small></p> <p>i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</small></p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>	<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small></p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p>ii. <input type="checkbox"/> paper</p> <p>c. <input type="checkbox"/> Statements verifying identify of above copies</p>
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ACCOMPANYING APPLICATION PARTS	
9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee)	<input type="checkbox"/> Power of Attorney
11. <input type="checkbox"/> English Translation Document (if applicable)	
12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449	<input type="checkbox"/> Copies of IDS Citations
13. <input type="checkbox"/> Preliminary Amendment	
14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small>	
15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign policy is claimed)</small>	
16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.	
17. <input type="checkbox"/> Other: _____	


18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: ____/____

Prior application information: Examiner _____ Group Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS					
<input checked="" type="checkbox"/> Customer Number or Bar Code Label					
					
or <input type="checkbox"/> Correspondence address below					
Name	Brian Kinnear				
Address	Holland & Hart				
	555 17 th Street, Suite 3200				
City	Denver	State	Colorado	Zip Code	80201
Country	USA	Telephone	303-295-8170	Fax	303-295-8261

Name (Print/Type)	Brian Kinnear	Registration No. (Attorney/Agent)	43,717
Signature		Date	December 18, 2003

This collection of information is required by 37 CFR 1.53 (b). The information is required to obtain or retain a benefit by the public worth is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA, 22313-1450. DO NOT SEND FEES OR COMPLETED FORM TO THIS ADDRESS. SEND TO: MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing this form, call 1800-PTO-9199 and select option 2



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FEE TRANSMITTAL FOR FY 2004		Complete if Known																													
<i>Effective 10/01/03. Patent fees are subject to annual revision.</i>		Application Number	unknown																												
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 C.F.R. 1.27		Filing Date	Herewith																												
		First Named Inventor	George Kakatsakis																												
		Examiner Name	Not yet assigned																												
		Group Art Unit	Not yet assigned																												
TOTAL AMOUNT OF PAYMENT	(\$428.00)	Attorney Docket No.	46686.830001.US0																												
METHOD OF PAYMENT		FEE CALCULATION (continued)																													
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> None		3. ADDITIONAL FEES																													
<input checked="" type="checkbox"/> Deposit Account		Large Entity Small Entity																													
Deposit Account Number: 082623		Fee Code Fee (\$)																													
Deposit Account Name:		Fee Description																													
The Director is authorized to: (Check all that apply)		Fee Paid																													
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<input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application																															
<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified account																															
FEE CALCULATION																															
1. BASIC FILING FEE																															
<table border="1" style="width: 100%; border-collapse: collapse;"><thead><tr><th>Large Entity Fee Code</th><th>Small Entity Fee Code</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>1001 770</td><td>2001 385</td><td>Utility filing fee</td><td>385.00</td></tr><tr><td>1002 340</td><td>2002 175</td><td>Design filing fee</td><td></td></tr><tr><td>1003 530</td><td>2003 265</td><td>Plant filing fee</td><td></td></tr><tr><td>1004 770</td><td>2004 385</td><td>Reissue filing fee</td><td></td></tr><tr><td>1005 160</td><td>2005 80</td><td>Provisional filing fee</td><td></td></tr><tr><td colspan="3" style="text-align: right;">SUBTOTAL (1)</td><td>(\$385.00)</td></tr></tbody></table>		Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid	1001 770	2001 385	Utility filing fee	385.00	1002 340	2002 175	Design filing fee		1003 530	2003 265	Plant filing fee		1004 770	2004 385	Reissue filing fee		1005 160	2005 80	Provisional filing fee		SUBTOTAL (1)			(\$385.00)		
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2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE																															
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**or number previously paid, if greater; For Reissues, see above																															
		SUBTOTAL (3) (\$0)																													
		Other fee (specify) _____																													
		*Reduced by Basic Filing Fee Paid																													
SUBMITTED BY		Complete (if applicable)																													
Name (Print/Type)	Brian Kinnear	Registration No. (Attorney/Agent)	43,717																												
Signature		Telephone	303-295-8170																												
		Date	December 18 2003																												

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

KAKATSAKIS, et al.

Serial No.

Filed: HEREWITH

For: METHODS AND APPARATUSES FOR ALERT
DRIVEN TRANSACTIONS

Examiner: not yet assigned

Art Unit: not yet assigned

CERTIFICATE OF MAILING BY EXPRESS MAIL

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

Sir:

The undersigned hereby certifies that the enclosed

1. Utility Patent Application Transmittal;
2. Specification, Claims and Abstract;
3. Fee Transmittal for FY 2004;
4. Certificate of Mailing by Express Mail; and
5. Return Card,


relating to the above application, were deposited as "Express Mail," Mailing Label No. EL379007160US with the United States Postal Service, addressed to Mail Stop PATENT APPLICATION Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313, on this December 18, 2003.

December 18, 2003

December 18, 2003



Mailer



Brian P. Kinnear, Reg. No. 43,717
555-17th Street, Suite 3200
Denver, Colorado 80201
Telephone: (303) 295-8170
Facsimile: (303) 295-8261